COURSE DETAIL

FINANCIAL REGULATIONS AND COMPLIANCE
Country Hong Kong
Host Institution University of Hong Kong
Program(s) University of Hong Kong
UCEAP Course Level Upper Division
UCEAP Subject Area(s) Business Administration
UCEAP Course Number 118
UCEAP Course Suffix
UCEAP Official Title FINANCIAL REGULATIONS AND COMPLIANCE
UCEAP Transcript Title FIN REGULAT/COMPLIA
UCEAP Quarter Units 5.00
UCEAP Semester Units 3.30

Course Description

This course examines financial regulations and compliance relevant to intermediaries (company and individuals) licensed to engage in regulated activities. Embedded in regulations and compliance are ethics, professional standards and applicable laws.

Language(s) of Instruction
English

Host Institution Course Number
FINA3383

Host Institution Course Title
FINANCIAL REGULATIONS AND COMPLIANCE

Host Institution Course Details

Host Institution Campus

Host Institution Faculty

Host Institution Degree

Host Institution Department

Course Last Reviewed
2024-2025

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