

COURSE DETAIL

FINANCIAL REGULATIONS AND COMPLIANCE

Country

Hong Kong

Host Institution

University of Hong Kong

Program(s)

University of Hong Kong

UCEAP Course Level

Upper Division

UCEAP Subject Area(s)

Business Administration

UCEAP Course Number

118

UCEAP Course Suffix**UCEAP Official Title**

FINANCIAL REGULATIONS AND COMPLIANCE

UCEAP Transcript Title

FIN REGULAT/COMPLIA

UCEAP Quarter Units

5.00

UCEAP Semester Units

3.30

Course Description

This course examines financial regulations and compliance relevant to intermediaries (company and individuals) licensed to engage in regulated activities. Embedded in regulations and compliance are ethics, professional standards and applicable laws.

Language(s) of Instruction

English

Host Institution Course Number

FINA3383

Host Institution Course Title

FINANCIAL REGULATIONS AND COMPLIANCE

Host Institution Course Details

Host Institution Campus

Host Institution Faculty

Host Institution Degree

Host Institution Department

Course Last Reviewed

2024-2025

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